

Annex II.G

Possible *pro forma* for catch documentation as proposed by the Chair in IWC/56/26

PREPARED BY THE SECRETARIAT

INTRODUCTION

In IWC/56/26, the Chair's proposal noted that, in combination with a DNA/MSS and an agreement by member nations to institute legislation prohibiting the importation of whale products from non-IWC countries as well as from IWC countries that are non-whaling, a system of catch documentation to the point of entry/landing would be valuable (and required by national governments at the point of entry). While he noted that it is the responsibility of national governments to decide what documentation they would require when products are being imported, he believed that it would be valuable to develop an IWC *pro forma*. He requested that the Secretariat investigate this.

Subsequently, at the meeting in Borgholm, this was expanded to also consider the option of documentation up to wholesaler and retail level (IWC/D04/RMS SDG5, Annex G).

This document provides a first draft *pro forma* for consideration and suggestions for improvements.

THE DRAFT FORMS

This document includes three *pro formas*:

- (1) Coastal whaling landing documents;
- (2) Pelagic whaling landing documents;
- (3) Import/export document.

Coastal whaling landing documents

This document is intended for use by vessels that carry out little or no processing on board. One form would need to be completed by each vessel for each trip.

The document would be issued by the national government issuing the whaling license. The responsibility for completing sections 1-3 lies with the issuing authority. The responsibility for completing the whaling operation details (section 4) would be the Captain of the whaling vessel, possibly in conjunction with the IWC observer on board. The person responsible for authorising the completed form (sections 5 and 6) would be the authorising agent nominated by the Contracting Government at the point of landing, again possibly in conjunction with the international observer.

The Captain needs to include the unique individual code assigned to each whale during the capture operations. This then directly links to the required biological information (sex, length etc.) and can later be linked to the national DNA register.

If the whales are not being delivered directly to a land station for processing, the form also requires information to be included as to the place of processing. Although not included on this *pro forma* (or the other two) for reasons of space, if the desire is to follow the products to wholesalers or retailers, additional sections could be added.

Pelagic whaling landing documents

This document is intended for use by vessels that carry out processing on board. One form would need to be completed by each vessel for each trip (probably season).

The general philosophy of this document is the same as for coastal whaling. The primary addition is that under section 5, the nature and quantity of the products is also included.

Import/export document

This document is intended to accompany each shipment to the point of entry into another country.

Sections 1 and 2 would be the responsibility of the national government issuing the export license. Sections 3 and 4 would be the responsibility of the export company. Section 5 would be the responsibility of the issuing authority at the point of export, whilst section 6 would be the responsibility of the national authority at the point of import.

The form (section 4) would include the unique individual codes from the DNA registry for all whales contributing to the products. This code can be linked back to details of the individual whales and other documents.



IWC Catch Documentation

COASTAL WHALING LANDING DOCUMENTS

One form per trip

**International Whaling
Commission**
The Red House,
135 Station Rd,
Impington,
Cambridge CB4 4NP, UK
+44 1223 233971
Secretariat@iwcoffice.org

1	Document number:	IWC/2005/N1234		
2	Issuing authority:	Ministry of Fisheries		
	Address:	145 Fisheries Road		
	Address:	Reykjavik, Iceland		
	Phone/fax/Email:	+354-1234-5678 / +99-1234-6789/ documents@mof.gov		
3	Whaling vessel:	Solrún		
	Flag state	Iceland	Ship Registration no.	13579
	Captain	Johann Sigurjónsson	Whaling permit no.	2005/9876
	Dates of trip	29/03/2005 – 31/03/2005		

4	Whaling operations:			
	Small Area	Species	Total	Individual whale codes
	M6	Common minke	2	Mi 023; Mi 024;
	M7	Common minke	1	Mi 025
	IWC observer present	Y/N	Name:	Christy Moore

5	Landing information		Date of landing	31/03/2005
	Place of landing	Akureyri Land Station		
	Place of processing if not above:	n/a		
	IWC observer present	Y/N	Name	Donal Lunny

6	Certificate of landing: I certify that the above information is complete, true and correct to the best of my knowledge			
	Authorising officer	Gisli Víkingsson	Date	31/03/2005
	Authority/address	Ministry of Fisheries, Akureyri Office		
	Signature		Seal:	



IWC Catch Documentation

EXPORT/IMPORT DOCUMENT

One form per shipment

**International Whaling
Commission**
The Red House,
135 Station Rd,
Impington,
Cambridge CB4 4NP, UK
+44 1223 233971
Secretariat@iwcoffice.org

1	Document number:	IWC/2005/N1234
2	Issuing authority:	Ministry of Fisheries
	Address:	145 Fisheries Road
	Address:	Iceland
	Phone/fax/Email:	+99-1234-5678 / +99-1234-6789/ documents@mof.gov

3	Export company:	Icelandic Marine Exports Hf		
	Nationality	Iceland	Company Registn no.	13579
	Director	Johann Sigurjónsson	Export permit no.	2005/9876
	Dates permit valid	29/03/2005 – 30/04/2005		

4	Whale products:			
	Nature of products	Frozen meat, 600 1.5kg packages within each of 4 crates		
	Origin	Species	Individual whale DNA registry codes	
	Icelandic coastal waters	Common minke	BA0608052; BA0608053; BA1208058; BA1208060	

5	Certificate of EXPORT: I certify that the above information is complete, true and correct to the best of my knowledge, and that all products originate from whales taken under the IWC's regulations			
	Authorising officer	Gisli Víkingsson	Date	20/04/2005
	Authority/address	Ministry of Fisheries, Akureyri Office		
	Signature		Seal:	

6	Certificate of IMPORT: I certify that the above information is complete, true and correct, and authorise the importation of these products into the country			
	Place of importation	Port of Tokyo	Import license no.	43657890
	Destination:	Tokyo Marine Importers, Chuo-ku, Tokyo 104		
	Importation Authority	Japanese Customs, Port of Tokyo		
	Authorising Officer	Seiji Ohsumi	Date	31/03/04
	Signature		Seal	



IWC Catch Documentation

PELAGIC WHALING LANDING DOCUMENTS

One form per trip

International Whaling Commission
The Red House,
135 Station Rd,
Impington,
Cambridge CB4 4NP, UK
+44 1223 233971
Secretariat@iwcoffice.org

1	Document number:	IWC/2005/N1234		
2	Issuing authority:	Ministry of Fisheries		
	Address:	145 Fisheries Road		
	Address:	Tokyo, Japan		
	Phone/fax/Email:	+354-1234-5678 / +99-1234-6789/ documents@mof.gov		
3	Whaling vessel:	Nishin Maru		
	Flag state	Japan	Ship Registration no.	13579
	Captain	Seiji Ohsumi	Whaling permit no.	2005/9876
	Dates of trip	29/11/2005 – 1/03/2006		

4	Whaling operations:			
	Small Area	Species	Total	Individual whale codes
	A46	Antarctic minke	22	Mi 023; Mi 024; etc etc
	A47	Antarctic minke	19	Mi 055; etc etc
	A51	Antarctic minke	13	Mi 077; etc etc
	IWC observer present	Y/N	Names:	Patrick Murphy, James O'Sullivan

5	Landing information		Date of landing	1/03/2006
	Place of landing	Shimonoseki Port		
	Nature of products	6000 1.5kg packages frozen meat in 12 containers etc etc		

6	Certificate of landing: I certify that the above information is complete, true and correct to the best of my knowledge			
	Authorising officer	Hidehiro Kato	Date	31/03/2005
	Authority/address	Ministry of Fisheries, Shimonoseki Office		
	Signature		Seal:	