

Annex 1 - CMP Funding: Principles and Processes

The assumption is that the proponents of a CMP and any range states that are signatories to the nomination and subsequent plan will be the primary source of funding to support its development and implementation.

Consideration by the Commission on whether to support a CMP, and to what degree, is informed by the capacity of participating range states to pay; conservation priority; the cost effectiveness of agreed actions; and funding availability.

The following guiding principles have been developed for use by relevant Working Groups and Committees in developing their advice on funding request to the Commission.

Funding principles

1. Eligibility is limited to CMPs generated within the Commission - that is by its committees or member states.
2. Eligibility for support is informed by capacity to pay, with priority generally given to CMP processes where participating range states include a majority of Group 1 or 2 member states³.
3. Funding recommendations will be made on the basis of conservation priority and cost effectiveness.
4. For the nomination and plan development phase, funding to employ a coordinator may be sought for a maximum of two years.
5. For plan implementation, funding support is primarily for coordination and governance activities. It will be considered on a case by case basis, and requires demonstration by participating range states of formal governance arrangements and funding commitments for key actions.
6. Funding requests for research-related actions under CMPs will be referred to the Scientific Committee.

Funding Processes

Accessing Voluntary CMP Contributions

At IWC61 (2009) a voluntary contribution in the order of GBP 300,000 was made to support the undertaking of CMPs in the IWC (IWC/61/CC23). Additional voluntary contributions can reasonably be anticipated in the future.

³Capacity to pay is determined by a country's Gross National Income (GNI) and Gross National Income Per Capita (GNIPC), as estimated by the World Bank. For the 2008/09 financial year, Group 1 member states had a GNI <US\$11,850,000,000 and GNIPC <US\$11,850. Group 2 member states had a GNI >US\$11,850,000,000 and GNIPC <US\$11,850. Capacity to pay group listings are provided by the IWC Finance and Administration Committee in their annual report.

The following process provides for the disbursement of these funds:

1. Submissions to access voluntary contributions to support any stage of the CMP process must be received by the Conservation Committee in sufficient time for them to be considered at or before the annual meeting of the IWC.
2. Submissions will be reviewed by the Standing Working Group on Conservation Management Plans (CMP Working Group), using agreed funding principles.
3. The CMP Working Group will make funding recommendations to the Conservation Committee in priority order, and may recommend supporting requests in full or in part.
4. The Conservation Committee will recommend in priority order to the Commission those funding requests it judges best meet the funding principles.

Accessing the Research Fund

The following process applies to parties seeking funds from the Scientific Committee's Research Fund:

The **Rules of Procedure** of the Scientific Committee state:

“G. Financial Support for Research Proposals

1. The Scientific Committee shall identify research needs.
2. It shall consider unsolicited research proposals seeking financial support from the Commission to address these needs. A sub-committee shall be established to review and rank research proposals received 4 months in advance of the Annual Meeting and shall make recommendations to the full Committee.
3. The Scientific Committee shall recommend in priority order those research proposals for Commission financial support as it judges best meet its objectives.”

A *pro forma* for applying for money under the Research Fund can be found on the IWC website at: http://iwcoffice.org/_documents/sci_com/handbook/ResearchProposal.pdf